

PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box → 

Approved for use through 10/31/2002. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# TRANSMITTAL FORM

*(to be used for all correspondence after initial filing)*

Total Number of Pages in This Submission

11

Application Number

09/763,078

Filing Date

16 FEB. 2001

First Named Inventor

ROFFELSEN, FRANCISCUS

Group Art Unit

Not Assigned

Examiner Name

Not Assigned

Total Number of Pages in This Submission

11

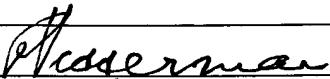
Attorney Docket Number

VRNGDE P46US

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input checked="" type="checkbox"/> Assignment Papers <i>(for an Application)</i>	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i>
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i>
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Itemized Postcard; Check for \$40.00; Check for \$520.00.
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input checked="" type="checkbox"/> Response to Missing Parts /Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

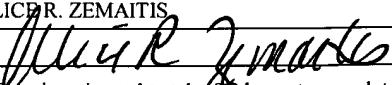
## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	VARNUM, RIDDERING, SCHMIDT & HOWLETT LLP PETER VISSERMAN		
Signature			
Date	5/29/01		

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail  
in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

5/29/2001

Typed or printed name	ALICE R. ZEMAITIS	Date	5/29/2001
Signature			

Burden Hour Statement: This form is estimated to take 0.5 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

		<i>Complete if Known</i>	
		Application Number	09/763,078
		Filing Date	16 FEB. 2001
		First Named Inventor	ROFFELSEN, Franciscus
		Examiner Name	Not Assigned
<b>TOTAL AMOUNT OF PAYMENT</b>		(\$)	560.00
		Group/Art Unit	Not Assigned
		Attorney Docket No.	VRNGDE P46US

**METHOD OF PAYMENT (check one)**

The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

**Deposit Account Number:** 22-0257**Deposit Account Name:** Varnum, Riddering, et al.

Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

**2.  Payment Enclosed:**

Check  Credit Card  Money Order  Order

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
<b>SUBTOTAL (1)</b>		(\$)-0-			

**2. EXTRA CLAIM FEES**

	Extra Claims	Fee from below	Fee Paid
Total Claims			
Independent	-20**=	X	=
Claims	-3**=	X	=
Multiple Dependent			

\*\*or number previously paid, if greater; For Reissues, see below

**Large Entity****Small Entity**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim, if not paid	
109	08/06/2001 MNGUYEN	08000157 08762076		Reissue claims in excess of 20 and over original patent	
110	01 FC:116 02 FC:154	9	390.00 00	** Reissue claims in excess of 20 and over original patent	130.00 00
<b>SUBTOTAL (2)</b>		(\$)-0-			

**3. ADDITIONAL FEES****Large Entity****Small Entity**

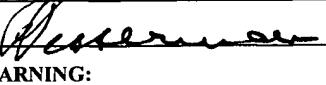
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge – late filing fee or oath	130.00
127	50	227	25	Surcharge – late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	390.00
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	219	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive – unavoidable	
141	1,240	241	620	Petition to revive – unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**

(\$) 560.00

**SUBMITTED BY:** VARNUM, RIDDERING, SCHMIDT & HOWLETT LLP**Complete (if applicable)**

Name (Print/Type)	PETER VISSERMAN	Registration No. (Attorney/Agent)	25,185	Telephone	616/336-6000
Signature					
				Date	5/29/01

**WARNING:**

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**Burden Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: ROFFELSEN, Franciscus

For: NON-RETURN VALVE

International Application No.: PCT/NL99/00605

International Filing Date: 29 SEP 1999

Priority Date: 30 SEP 1998

U.S. Application No.: 09/763,078

Filed: 16 FEB 2001

Atty. Docket: VRNGDE P46US

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**  
**UNDER 35 U.S.C. 371**

Assistant Commissioner for Patents  
Box PCT  
Washington, D.C. 20231

Dear Sir:

This is in response to the Notification of Missing Requirements under 35 U.S.C. 371, dated 13 MAR 2001, in the above-identified application.

Transmitted herewith for filing in the above-referenced patent application are the following:

- 1) A copy of the Notification of Missing Requirements Under 35 U.S.C. 371, Form PCT/DO/EO/905;
- 2) A Combined Declaration and Power of Attorney, executed by the inventor(s) on March 2, 2001;
- 3) A Request for Extension of Time;

4) A check in the amount of \$1,020.00, to cover the surcharge for providing the Declaration later than 30 months from the priority date as well as requesting a 3 month extension;

5) An Assignment of the invention to Spiro Research B.V., Assignment cover sheet, and a check in the amount of \$40.00 for the recording fee.

Respectfully submitted,

FRANCISCUS ROFFELSEN

Dated: 5/29/01

By: Peter Visserman  
Peter Visserman, Reg. No. 25,185  
VARNUM, RIDDERING, SCHMIDT & HOWLETT, LLP  
Bridgewater Place  
P. O. Box 352  
Grand Rapids, MI 49501-0352  
Telephone: (616) 336-6000

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, BOX PCT, Washington, D.C. 20231 on this 29th day of May, 2001.

Alice R. Zemaitis  
Alice R. Zemaitis

575620